
Marginal representations in loanword adaptation: affrication in Brazilian Portuguese English

Natália B. Guzzo & Guilherme D. Garcia

Université Laval

nataliaguzzo.github.io • gdgarcia.ca

NELS 54, MIT

January 2024



Overview

General Puzzle: Some strings in loanwords are not produced/repaired like native words

a. **English** → **Japanese**

- In Japanese, [tʃi] (but not *[ti]) is attested in native words
- But some loans may be produced with [ti]: [ʃitibaŋkɯ] ‘Citibank’

(Broselow et al. 2012; Shaw 2007)

b. **English** → **Korean**

- In native Korean words, stop-nasal clusters result in nasal assimilation
/kuk-min/ → [kuŋmin] ‘nation’
- Loanwords with such (illicit) clusters exhibit epenthesis
/pɪknɪk/ → [p^hɪk^hɪnɪk] ‘picnic’

(Boersma and Hamann 2009; Daland et al. 2019)

Overview

Our puzzle:

- Some strings in loanwords are not produced/repared like native words
 - But they are not faithful to the foreign input either—and no (native) repair applies
- ☞ The resulting string is an **expansion of what is possible in the native phonology**

Structures under focus: English /tu/ in Brazilian Portuguese (BP)

a. *two, too, to* → [t̂u]

b. *student* → [ist̂udent]

c. *today* → [t̂udej]

- ☞ In native BP, [t̂i] is allowed, but not *[t̂u]

Loanword adaptation

Category proximity or phonetic approximation?

English → Spanish

English /ɪ ʊ/ are phonetically closer to **Spanish** /e o/ than to /i u/

(Delattre 1981)

- A. By phonetic approximation, we should get *building* as [*belden] and *cook* as [*kok]
- B. But, in reality, we get [bildiŋ] and [kuk]

Option A changes the feature [high], selecting ≠ existing phonological categories

Option B keeps features (categories) intact by sacrificing phonetic approximation

Loanword adaptation

Category proximity or phonetic approximation

The example in Spanish suggests that **category proximity** \succ **phonetic approximation**

Category proximity (LaCharité and Paradis, 2005, p. 227)

- a. If a given L2 phonological category does not exist in L1, this L2 category will be replaced by the closest phonological category in L1, *even if the L1 inventory contains acoustically closer sounds*.
- b. Category proximity is determined by the number of changes (e.g., features) that an L2 phoneme must undergo to become a permissible phoneme in L1.

☞ What happens when adapted forms involve **allophony**?

Loanword adaptation: The BP case

Category proximity or phonetic approximation

In **BP**, [tʃ dʒ] are allophones of /t d/ before [i]

- Examples: *tipo* [tʃi.pu] ‘type’, *dia* [dʒi.a] ‘day’; **but** *tudo* [tu.du] ‘all’, *dúzia* [du.zi.a] ‘dozen’

BP speakers’ adaptation of English loanwords

- *tea* as [tʃi] and *deep* as [dʒip]: affrication of [t d] before [i]
- **But** English /tu/ sequences are also affricated by BP speakers: two, too, to = [tʃu]
- This does not happen with /du/: *do* = [du] (cf. *[dʒu]), *doom* = [dum] (cf. *[dʒum])

☞ While affrication of /t/ before [i] is expected given allophony in BP, it’s surprising before [u]

Loanword adaptation: The BP case

Category proximity or phonetic approximation

- Previous studies: have argued that this case of **spurious affrication (SA)** is **not caused by speakers perceiving aspiration as affrication:**
(Nevins and Braun 2009)
 - Forms such as *student*, which has no aspiration, also result in SA in BP
 - **Proposal:** BP speakers represent the /tu/ strings as /tiu/ (English /u/ is fronted after coronals)
- Issues with this proposal:
 - It does not explain why /du/ is not palatalized
 - It does not explain cases like [tʃudej] ‘today’

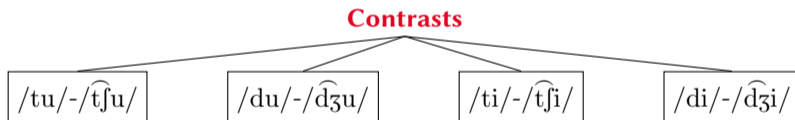
Additional issue: BP speakers’ perception of aspiration vs. affrication hasn’t been tested

👉 **This paper:** perception data strongly suggest that **aspiration is a key factor**

Methods

AXB task with CV stimuli (recorded by two native speakers of Canadian English)

- **Target items** ($n = 32$): [\pm voice] stops and affricates /t d tʃ dʒ/¹ + /i u/
- *Fillers* ($n = 76$): /ɑ/ and/or other consonants (e.g., /s z/)



Participants: BP speakers ($n = 26$) → learners of English living in Canada

Controls: native English speakers ($n = 13$) residing in the same region

¹Voiceless stops were recorded with aspiration.

Methods

Stats

- Bayesian logistic regression
 - by-item random intercept
 - by-speaker random slope and intercept for vowel:consonant interaction
 - minimally informative priors

(Bürkner 2018)

Model specification

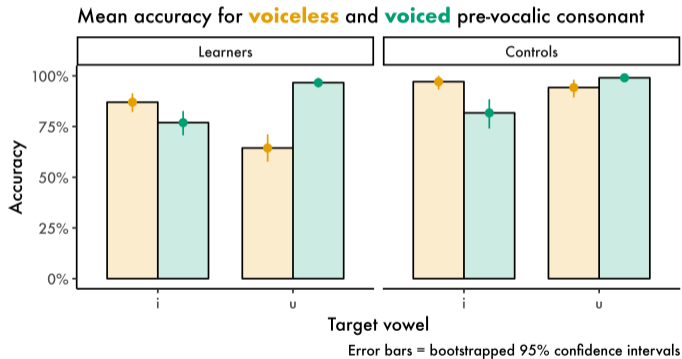
$$Y \sim C * V + (1 + C * V | ID) + (1 | item)$$

👉 Where Y is either **accuracy** (Bernoulli) or **reaction time** (lognormal)

Results and analysis

Accuracy

☞ /tu/-/tʃu/: **most difficult** type for learners (< 75%)

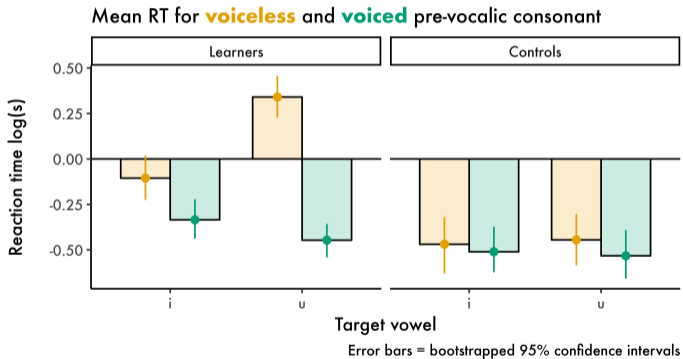


$\hat{\beta} = -4.34$, 95% CrI = $[-7.01, -1.82]$: effect of consonant [t] -vowel [u] interaction

Results and analysis

Reaction times

☞ /tu/-/tʃu/: **slowest** type for learners (Med = 1.31s)



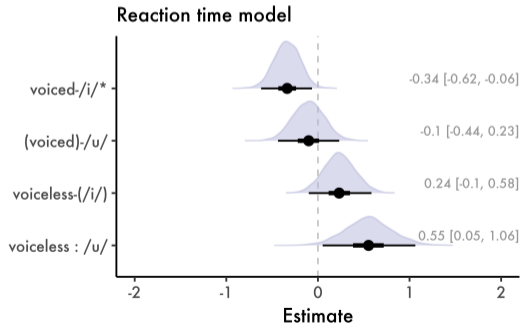
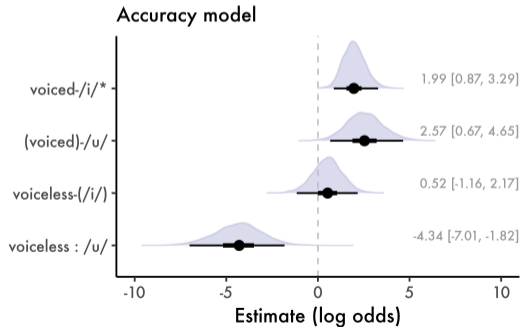
$\hat{\beta} = 0.55$, 95% CrI = [0.05, 1.06]: effect of consonant [t] - vowel [u] interaction

Results and analysis

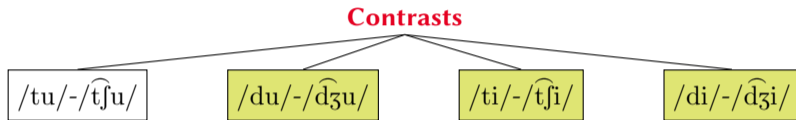
Models (* = intercept)

- Posterior distributions of effect sizes for both models + 50% and 95% credible intervals

☞ **voiceless : /u/** → lowest accuracy and slowest reaction times



Discussion



- Results for $\frac{3}{4}$ contrasts were consistent with BP allophonic patterns (high accuracy; fast RTs)
- And with observations about the perception/production of allophonic variation (Peperkamp et al. 2003)

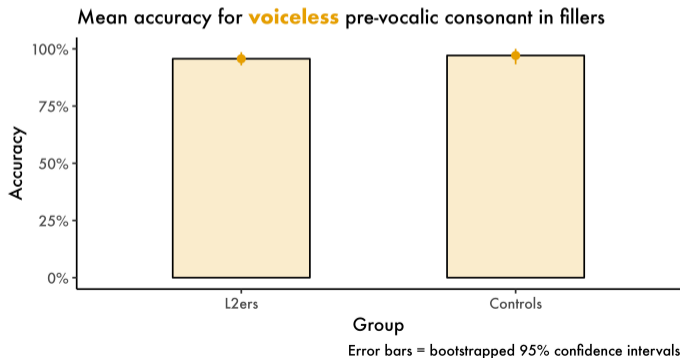
☞ **How about /tu/-/t̂fu/?**

Discussion

Idea: BP speakers approximate the cues present in the phonetic form $[t^h u]$ as $[t^j u]$

- This could stem from **aspiration noise + fronted quality** of English $[u]$

👉 **How do we know this isn't just about aspiration?** $/ta/$ vs. $/t^j a/$ (fillers)



Discussion

☞ How about words such as *student*?

- Why do BP speakers produce SA in these contexts?
- Not all unaspirated stops are the same

(Nevins and Braun 2009)

(Lisker and Abramson 1964; Pierrehumbert et al. 2000; Ladefoged and Johnson 2011)

- **Plausible assumption:** BP speakers perceive [st] as [st + noise]
 - Unaspirated [t] in English has **longer VOT** than BP [t]

(Cho et al. 2019)

- This explains why /tʉ/ and /stʉ/ are often perceived/produced as [tʃ̆] and [stʃ̆]

Discussion

☞ BP speakers' UR is **not** target /tu/

- Rather, it incorporates the aspiration and adapts it to the closest native category:

$\widehat{t}ʃu$

- Aligned with models where representations are constrained by perception

(Boersma and Hamann 2009)

- Variable surface forms consistent with probabilistic frameworks

(Goldwater and Johnson 2003; Wilson 2006)

Discussion

Borrowing systems are able to accommodate **marginal representations**

Marginal representations

- Deviate from the native patterns; expand what is allowed in the borrowing system
- Motivated by perception; not (necessarily) identical to what's observed in the source
- Low cost in loanword adaptation: no new phonological category involved

Discussion

Borrowing systems are able to accommodate **marginal representations**

- Another case in BP English: loanwords containing /ʌ/ (e.g., *pub*), often adapted to [ɐ] (Guzzo 2019)
- ☞ [ɐ] is only found in nasal contexts in BP (allophone of /a/):
 - a. *cama* [kẽma] ‘bed’
 - b. *canto* [kẽntu] ‘corner’
- The borrowing system allows an allophone to emerge in additional (i.e., non-nasal) contexts:
 - a. *pub* [pɛbi]
 - b. *Starbucks* [istarbøkis]
- This results in an **expansion of the distribution of native allophonic patterns**

Discussion

Borrowing systems are able to accommodate **marginal representations**

How about the Japanese [ti] vs. [tʃi] adaptations (e.g., *Citibank* → [ʃitibaŋkɯ])?

- [ti] in loans is a **marginal representation** in that it is not observed in native words
- But it differs from the BP cases, which
 - are **not faithful** to the source
 - involve **expansion of allophonic patterns**

Final remarks and next steps

- Back to the beginning: **category approximation** > **phonetic proximity** (LaCharité and Paradis 2005)
- Our results do not contradict this notion
- ☞ Instead, they show that phonetic proximity **can** be the main factor in loanword adaptation...
 - ... when phonological categories aren't involved, and/or
 - ... when the allophonic system may be expanded to accommodate perception
- ☞ **Next:** fillers + phonetic correlates in stimuli; production data; follow up experiment(s)

Acknowledgments

Thank you!

- Thanks to our RAs: Arielle Vermette, Clara Tran, Hannah Markert, Matthew Currie
- This research has been supported by SMUWorks, Saint Mary's Faculty of Graduate Studies and Research, and Laval's *Soutien au développement de la recherche*

References I

- Boersma, P. and Hamann, S. (2009). Loanword adaptation as first-language phonological perception. In Calabrese, A. and Wetzels, L., editors, *Loan Phonology*, pages 11–58. John Benjamins Publishing.
- Broselow, E., Huffman, M., Hwang, J., Kao, S., and Lu, Y.-A. (2012). Emergent rankings in foreign word adaptations. In Arnett, N. and Bennett, R., editors, *Proceedings of WCCFL 30*, pages 98–108. Cascadilla Press, Somerville, MA.
- Bürkner, P.-C. (2018). Advanced Bayesian multilevel modeling with the R package brms. *The R Journal*, 10(1):395–411.
- Cho, T., Whalen, D. H., and Docherty, G. (2019). Voice onset time and beyond: Exploring laryngeal contrast in 19 languages. *Journal of Phonetics*, 72:52–65.
- Daland, R., Mira, O., and Davidson, L. (2019). On the relation between speech perception and loanword adaptation. *Natural Language & Linguistic Theory*, 37.
- Delattre, P. (1981). An acoustic and articulatory study of vowel reduction in four languages. In Delattre, P., editor, *Studies in comparative phonetics*, pages 63–93. Julius Groos Verlag, Heidelberg.
- Goldwater, S. and Johnson, M. (2003). Learning OT constraint rankings using a Maximum Entropy model. In *Proceedings of the Stockholm workshop on variation within Optimality Theory*, pages 111–120.
- Guzzo, N. B. (2019). Native and non-native patterns in conflict: Lexicon vs. grammar in loanword adaptation in Brazilian Portuguese. In Hout, K., Mai, A., McCollum, A., Rose, S., and Zaslansky, M., editors, *Proceedings of the Annual Meetings on Phonology*, volume 6.

References II

- LaCharité, D. and Paradis, C. (2005). Category preservation and proximity versus phonetic approximation in loanword adaptation. *Linguistic Inquiry*, 36(2):223–258.
- Ladefoged, P. and Johnson, K. (2011). *A course in phonetics*. Wadsworth, Boston, 6th edition.
- Lisker, L. and Abramson, A. (1964). A cross-language study of voicing in initial stops: Acoustical measurements. *Word*, 20:384–422.
- Nevins, A. and Braun, D. (2009). The role of underlying representations in L2 Brazilian English. In Calabrese, A. and Wetzels, L., editors, *Loan Phonology*, pages 181–192. John Benjamins Publishing.
- Peperkamp, S., Pettinato, M., and Dupoux, E. (2003). Allophonic variation and the acquisition of phoneme categories. In Beachley, B., Brown, A., and Conlin, F., editors, *Proceedings of the 27th Annual Boston University Conference on Language Development*. Cascadilla Press, Somerville, MA.
- Pierrehumbert, J., Beckman, M., and Ladd, D. R. (2000). Conceptual foundations of phonology as a laboratory science. In Burton-Roberts, N., Carr, P., and Docherty, G., editors, *Phonological knowledge*, pages 273–303. Oxford University Press, Oxford.
- Shaw, J. (2007). /ti~/tʃi/ contrast preservation in Japanese loans is parasitic on segmental cues to prosodic structure. In Trouvain, J. and Barry, W., editors, *Proceedings of ICPHS XVI*, pages 1365–1368. Saabrücken, Germany.
- Wilson, C. (2006). Learning phonology with substantive bias: an experimental and computational study of velar palatalization. *Cognitive Science*, 30(5):945–982.